

AGENDA SUPPLEMENT (2)

Meeting: Audit
Place: Council Chamber - Council Offices, Monkton Park, Chippenham,
SN15 1ER
Date: Tuesday 17 September 2013
Time: 2.00 pm

The Agenda for the above meeting was published on Monday 9 September 2013 and indicated that the report detailed below would be to follow. This is now available and is attached to this Agenda Supplement.

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This Agenda and all the documents referred to within it are available on the Council's website at www.wiltshire.gov.uk

7 **Risk Management Update**_(Pages 1 - 4)

DATE OF PUBLICATION: 13 September 2013
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Corporate Risk Report

PURPOSE OF REPORT

1. To note the latest position regarding the Council's risks that are identified in the current Corporate Risk Register

BACKGROUND

2. A key component of the Council's current Risk Management Strategy is the regular review of the strategic risks which affect the Council corporately. The review is carried out by senior officers, supported by members of the Corporate Risk Management Group (CRMG), and gives consideration to any changes which may have affected the risk and any opportunities that can benefit the council and its partners and stakeholders from the proper management of those risks.
3. The corporate risk management function has been transferred to the Corporate Office. With the Council approving its new Business Plan 2013-17 on 3 September, the Risk Management Strategy and the processes associated will be reviewed to ensure that our arrangements are fit for purpose to monitor progress against the new Business Plan. This will include a full audit of current risks together with a new service planning and performance framework that will then drive a revised approach as to how we manage risks in the future.
4. The objective will be to provide a clear consistent and integrated corporate risk management framework that effectively scrutinises and challenges risk both at a corporate and operational level.

MAIN CONSIDERATIONS

5. The Corporate Risk Register (**Appendix A**) highlights the current risks that have a potential strategic impact upon the direction of the Council. As one element of the review on the Risk Management Strategy, the register will be reassessed in consultation with the Corporate Directors. It is accepted that many risks that are currently identified (at all levels) need rationalising to ensure they are of good quality and reflective of the issues facing the Council. However the trend to addressing the current risks is encouraging with a number of risks reducing.

6. Following a review of the strategic risks currently facing the Council, the Corporate Risk Management Group (CRMG) has identified the following:

- 1 High
- 10 Medium
- 2 Low

7. These have been identified and updated by officers using the Council's agreed risk scoring criteria. These have also been assessed in more detail by the Corporate Risk Management Group and the following is the only remaining high risk, but action has started to mitigate this level down:

- **Risk 1006 – Concern over the appropriate levels of security and safety of confidential/sensitive data/information.** This risk is still scored as High, however the likelihood score of this risk occurring has fallen from 4 to 3. An improvement plan is in place and being led by Nina Wilton, and staffing resource has recently been put in place levels to implement the plan.

8. The remaining risks have maintained their current status or reduced based on the following factors – *agreed tolerance within resources available; awaiting further measures to mitigate risk down*. These risks will continue to receive the appropriate level of oversight from Corporate Services and CRMG. Detailed action plans are available upon request.

PROPOSAL

9. To note the latest position regarding the Council's risks that are identified in the current Corporate Risk Register as detailed in **Appendix A** confirming the status of the high level risks being reported from service areas and that Risk Management Strategy and the processes associated are to be reviewed to ensure that our arrangements are fit for purpose to monitor progress against the new Business Plan.

Report author: Robin Townsend – Interim Director Executive Services
Michael Hudson – Service Director, Finance

APPENDIX A – Corporate Risk Register as at 1st July 2013

Ref	Risk	Current Impact	Current Likelihood	Current Risk Rating	Direction of Travel
1006	Concern over the appropriate levels of security and safety of confidential/sensitive data/information	4	3	High	↓
1014	Inability to assess, manage / monitor fraud and corruption activity	2	3	Medium	↓
1027	Ability to ensure the Corporate Health, Safety & Wellbeing of the organisation.	3	2	Medium	↓
1059	Failure to monitor /reduce overspend and make appropriate savings/investment	3	3	Medium	↓
1063	Inability to corporately control the maintenance and monitoring of contracts	2	2	Low	.
1137	Poor quality data used by the Council and associated Partners/Contractors	3	3	Medium	.
1173	Failure to implement the Safeguarding Improvement Plan	4	2	Medium	↓
1174	Failure to maintain an up to date and accurate Partnerships Register	3	3	Medium	.
1175	Inability to adequately fulfil the requirements as a Category 1 responder in the event of an incident or training	4	1	Low	.
1182	Inability of Private/Voluntary sector to deliver services effectively/efficiently	4	2	Medium	.
1186	Violence and aggression to employees from members of the public	3	2	Medium	↓
1206	Failure to implement appropriate levels of H&S inspection procedures at Council properties	3	2	Medium	↓
1214	Failure to ensure that the Primary Data Centre (PDC) in Old County Hall is adequately protected during refurbishment works	3	2	Medium	↓

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